

§ 304.7

12 CFR Ch. III (1-1-97 Edition)

report no later than February 15th of each year. When circumstances necessitate, additional information may be required about certain operations of the trust department. The report is filed with the Division of Supervision, FDIC, Washington, DC 20429. The report forms and instructions for completing the report will be furnished to all such banks by, or may be obtained upon request from, the Division of Supervision, FDIC, Washington, DC 20429.

(d) *Form FFIEC 002: Report of Assets and Liabilities of U.S. Branches and Agencies of Foreign Banks.* Form FFIEC 002 is a report in the form of a statement of the assets and liabilities of U.S. branches and agencies of foreign banks together with an additional detailed breakdown of selected items and, in the case of insured branches, information for assessment purposes. Insured branches must also submit annually such information on small business and small farm lending as the FDIC may need to assess the availability of credit to these sectors of the economy. The report must be prepared in accordance with the instructions contained in the instruction booklet for the report, copies of which are furnished to all U.S. branches and agencies of foreign banks by the Federal Reserve System. The Board of Governors of the Federal Reserve System collects and processes the report on behalf of FDIC-supervised branches. The report is submitted quarterly to the appropriate Federal Reserve District Bank.

(e) *Form FFIEC 004: Report on Indebtedness of Executive Officers and Principal*

Shareholders and their Related Interests to Correspondent Banks. Form FFIEC 004 is a recommended form that may be used by the executive officers and principal shareholders of an insured State nonmember bank to report to the board of directors of their bank on their indebtedness (and that of their related interests) to correspondent banks, as required by part 349 of the FDIC's regulations. The reports are due January 31 of each year and cover indebtedness to correspondent banks during the preceding calendar year. Form FFIEC 004 is mailed annually by the FDIC to each bank.

[51 FR 36684, Oct. 15, 1986, as amended at 57 FR 23933, June 5, 1992; 60 FR 31384, June 15, 1995]

§ 304.6 [Reserved]

§ 304.7 Display of control numbers.

The following sections of this part of FDIC's regulations containing collection of information requirements are listed with the control numbers assigned by the Office of Management and Budget:

Section of 12 CFR Part 304	Currently Assigned OMB Control No.
304.3	3064-0057
304.4(a)	3064-0052
304.4(b)	3064-0054
304.5(a)	3064-0061
304.5(b)	3064-0029
304.5(c)	3064-0024
304.5(d)	7100-0032
304.5(e)	3064-0023

APPENDIX A TO PART 304—LIST OF FORMS

[NOTE: See footnotes at end of table.]

Form	Title	Section of FDIC's regulations (12 CFR Chapter III) where the form is referenced	OMB No.
FDIC 6112/01	Initial Statement of Beneficial Ownership of Equity Securities (Form F-7).	335.413	3064-0030.
FDIC 6112/02	Statement of Changes in Beneficial Ownership of Equity Securities (Form F-8).	335.414	3064-0030.
FDIC 6120/06	Notification of Performance of Bank Services	304.5(b)	3064-0029.
FDIC 6140/03	Report of Compliance with the Bank Protection Act	326.5	
FDIC 6200/05	Application for Federal Deposit Insurance (Commercial Banks)	303.1	3064-0001.
FDIC 6200/06	Financial Report	(¹)	3064-0006.
FDIC 6200/07	Application for Federal Deposit Insurance for Operating Non-insured Institutions.	303.1	3064-0069.
FDIC 6200/09	Application for Consent to Exercise Trust Powers	(²)	3064-0025.
FDIC 6220/01	Application for a Merger or Other Transaction Pursuant to section 18(c) of the Federal Deposit Insurance Act.	303.3	3064-0016.

Federal Deposit Insurance Corporation

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APPENDIX A TO PART 304—LIST OF FORMS—Continued

[NOTE: See footnotes at end of table.]

Form	Title	Section of FDIC's regulations (12 CFR Chapter III) where the form is referenced	OMB No.
FDIC 6220/07	Application for a Merger or Other Transaction Pursuant to section 18(c) of the Federal Deposit Insurance Act (Phantom or Corporate Reorganization).	303.7(b)(1) and 303.3	3064-0015.
FDIC 6420/07	Certified Statement	304.3(a)	3064-0057.
FDIC 6420/10	First Certified Statement	304.3(b)	3064-0057.
FDIC 6500/70	Fair Housing Home Loan Application Log Sheet	338.4(a)(2)(iv)	3064-0046.
FDIC 6710/06	Report of Apparent Crime (Short Form)	353.1	3064-0077.
FDIC 6710/06A	Report of Apparent Crime (Long Form)	353.1	3064-0077.
FDIC 6710/07	Application Pursuant to section 19 of the Federal Deposit Insurance Act.	(³)	3064-0018.
FDIC 6822/01	Notice of Acquisition of Control	303.4(b)	3064-0019.
FDIC 8020/05	Summary of Deposits	304.5(a)	3064-0061.
FDIC 8040/60	Report of Income and Condition—Monthly (FDIC-Insured Savings Banks—Large).	(⁴)	3064-0058.
FFIEC 001	Annual Report of Trust Assets	304.5(c)	3064-0024
FFIEC 002	Report of Assets and Liabilities of U.S. Branches and Agencies of Foreign Banks.	304.5(d)	7100-0032
FFIEC 004	Report on Indebtedness of Executive Officers and Principal Shareholders and their Related Interests to Correspondent Banks.	304.5(e)	3064-0023
FFIEC 009	Country Exposure Report	351.3	3064-0017
FFIEC 009a	Country Exposure Information Report	351.3	3064-0017
FFIEC 019	Country Exposure Report for U.S. Branches and Agencies of Foreign Banks.	(⁵)	7100-0213
FFIEC 030	Foreign Branch Report of Condition	347.6(b)	3064-0011
FFIEC 031	Consolidated Reports of Condition and Income for a Bank with Domestic and Foreign Offices.	304.4	3064-0052
FFIEC 032	Consolidated Reports of Condition and Income for a Bank with Domestic Offices Only and Total Assets of \$300 Million or More.	304.4	3064-0052
FFIEC 033	Consolidated Reports of Condition and Income for a Bank with Domestic Offices Only and Total Assets of \$100 Million or More But Less Than \$300 Million.	304.4	3064-0052
FFIEC 034	Consolidated Reports of Condition and Income for a Bank with Domestic Offices Only and Total Assets of Less than \$100 Million.	304.4	3064-0052
FFIEC 035	Monthly Consolidated Foreign Currency Report of Banks in the United States.	(⁶)	1557-0156
TA-1	Registered Transfer Agents—Form TA-1 for Registrations and Amendments.	341.6	3064-0026
MSD-4	Uniform Application for Municipal Securities Principal or Municipal Securities Representative Associated with a Bank Municipal Securities Dealer.	343.3	3064-0022
MSD-5	Uniform Termination Notice for Municipal Securities Principal or Municipal Securities Representative Associated with a Bank Municipal Securities Dealer.	343.3	3064-0022

¹Not referenced in 12 CFR chapter III. The report form is submitted by each individual director or officer of a proposed or operating bank applying to the FDIC for Federal deposit insurance as a state nonmember bank, or by a person proposing to acquire ownership or control of an insured state nonmember bank.

²Not referenced in 12 CFR chapter III. The application form is submitted by insured state nonmember banks applying for FDIC consent to exercise trust powers.

³Not referenced in 12 CFR chapter III. The application form is submitted by FDIC-insured banks applying for FDIC consent to employ persons who have been convicted of crimes involving dishonesty or breach of trust.

⁴Not referenced in 12 CFR chapter III. The report form is submitted monthly by large FDIC-insured savings banks (assets of \$500 million or more) to the Division of Research and Strategic Planning, FDIC, Washington, DC 20429.

⁵Not referenced in 12 CFR chapter III. The report form is submitted by State chartered and Federally-licensed branches and agencies of foreign banks in the U.S. with \$30 million or more in total direct claims on foreign residents. The Federal Reserve Board collects and processes the report on behalf of FDIC-supervised branches. The report is submitted quarterly to the appropriate Federal Reserve District Bank.

⁶Not referenced in 12 CFR chapter III. The report form is submitted by banks (other than savings banks) and bank holding companies with a dollar equivalent of \$100 million or more in assets, liabilities, foreign exchange contracts bought, and foreign exchange contracts sold in any six specific foreign currencies as of the end of a month. The Office of the Comptroller of the Currency collects and processes this monthly report on behalf of insured state nonmember banks.

[51 FR 36684, Oct. 15, 1986, as amended at 57 FR 23933, June 5, 1992; 59 FR 50828, Oct. 6, 1994; 59 FR 67160, Dec. 29, 1994]

APPENDIX B TO PART 304—FEDERAL DEPOSIT INSURANCE CORPORATION REGIONAL OFFICES—BANK SUPERVISION

ATLANTA REGIONAL OFFICE

Regional Director (Bank Supervision), Federal Deposit Insurance Corporation, Marquis One Tower, Suite 1200, 245 Peachtree Center Avenue, NE., Atlanta, GA 30303
Alabama, Florida, Georgia, North Carolina, South Carolina, Virginia

BOSTON REGIONAL OFFICE

Regional Director (Bank Supervision), Federal Deposit Insurance Corporation, 60 State Street, 17th Floor, Boston, MA 02109
Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont

CHICAGO REGIONAL OFFICE

Regional Director (Bank Supervision), Federal Deposit Insurance Corporation, 30 S. Wacker Drive, Suite 3100, Chicago, IL 60606
Illinois, Indiana, Wisconsin

COLUMBUS REGIONAL OFFICE

Regional Director (Bank Supervision), Federal Deposit Insurance Corporation, 1 Nationwide Plaza, Suite 2600, Columbus, OH 43215
Kentucky, Michigan, Ohio, West Virginia

DALLAS REGIONAL OFFICE

Regional Director (Bank Supervision), Federal Deposit Insurance Corporation, 1910 Pacific Avenue, Suite 1900, Dallas, TX 75201
Colorado, New Mexico, Oklahoma, Texas

KANSAS CITY REGIONAL OFFICE

Regional Director (Bank Supervision), Federal Deposit Insurance Corporation, 2345 Grand Avenue, Suite 1500, Kansas City, MO 64108
Iowa, Kansas, Minnesota, Missouri, Nebraska, North Dakota, South Dakota

MEMPHIS REGIONAL OFFICE

Regional Director (Bank Supervision), Federal Deposit Insurance Corporation, 1 Commerce Square, Suite 1800, Memphis, TN 38103
Arkansas, Louisiana, Mississippi, Tennessee

NEW YORK REGIONAL OFFICE

Regional Director (Bank Supervision), Federal Deposit Insurance Corporation, 452 Fifth Avenue, 21st Floor, New York, NY 10018
Delaware, District of Columbia, Maryland, New Jersey, New York, Pennsylvania, Puerto Rico, Virgin Islands

SAN FRANCISCO REGIONAL OFFICE

Regional Director (Bank Supervision), Federal Deposit Insurance Corporation, 25 Ecker Street, Suite 2300, San Francisco, CA 94105
Alaska, Arizona, California, Guam, Hawaii, Idaho, Montana, Nevada, Oregon, Utah, Washington, Wyoming

PARTS 305–306—[RESERVED]

PART 307—NOTIFICATION OF CHANGES OF INSURED STATUS

AUTHORITY: Sec. 2, Pub. L. 797, 64 Stat. 879, 880 as amended by secs. 202, 204, Pub. L. 89–694, 80 Stat. 1046, 1054, and sec. 6(c)(14), Pub. L. 95–369, 92 Stat. 618 (12 U.S.C. 1818(a), 1818(o)); sec. 304, Pub. L. 95–630, 92 Stat. 3676 (12 U.S.C. 1818(q); sec. 9, Pub. L. 797, 64 Stat. 881 (12 U.S.C. 1819).

§307.1 Certification of assumption of deposit liabilities.

Whenever the deposit liabilities of an insured bank or insured branch of a foreign bank are assumed by another insured bank (whether by merger, consolidation, or other statutory assumption, or by contract), the assuming or resulting bank shall certify to the FDIC that it has agreed to assume the deposit liabilities of the bank whose deposits were assumed. The certification shall be made within 30 days after the assumption takes effect and shall state the date the assumption took effect. This certification shall be considered satisfactory evidence of the assumption.

[48 FR 24031, May 31, 1983]

§307.2 Notice to be given when deposit liabilities are not assumed.

Any insured bank or insured branch of a foreign bank whose insured status is voluntarily terminated, but whose deposit liabilities are not assumed shall give notice to each of its depositors of the date of the termination of its insured status under the Federal Deposit Insurance Act. The notice to depositors shall be given in a form, in a manner and at a time approved by the appropriate FDIC Regional Director. The FDIC may require the bank to take other steps that it considers necessary for the protection of depositors.

[48 FR 24031, May 31, 1983]